

#7



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:	)	Group Art Unit: 2776
	)	
Darryl Rubin et al.	)	Examiner: TBA
	)	
Serial Number: 09/456,249	)	Attorney Docket No. 003797.85089
	)	
Filed: Dec. 7, 1999	)	

For: COMPUTER USER INTERFACE ARCHITECTURE WHEREIN USER'S  
INTERACT WITH BOTH CONTENT AND USER INTERFACE BY  
ACTIVATING LINKS

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NOV 14 2002

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RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

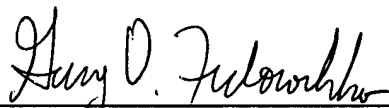
Sir:

Further to the Information Disclosure Statement, which was filed in the U.S. Patent and Trademark Office on October 24, 2002, a U.S. published application (US 2002-0099552 A1) was listed for consideration on the PTO Form 1449. However, we believe a copy of this published application may not have been attached to the Information Disclosure Statement filed on October 24, 2002. For your convenience, attached is a copy of US 2002-0099552 A1 to Rubin et al. Additionally, along with this submission, attached is a copy of the Information Disclosure Statement, PTO 1449 and Transmittal that were filed on October 24, 2002.

The Information Disclosure Statement filed October 24, 2002 was filed before the mailing date of a first Office Action on the merits. Additionally, this Resubmission of Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Therefore, we believe no fee is necessary for the filing of this Resubmission of the published application. Further, the Commissioner is authorized to charge any necessary fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Respectfully submitted,  
BANNER & WITCOFF, LTD.

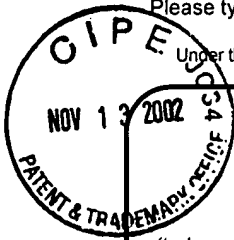
Dated: 11/13/02

By:   
Gary D. Fedorochko  
Registration No. 35,509

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/456,249

Filing Date 12/07/99

First Named Inventor Darryl Rubin et al.

Group Art Unit 2776

Examiner Name TBA

Total Number of Pages in This Submission 10

Attorney Docket Number 003797.85089

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## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Resubmission of Information  
Disclosure Statement☐ Certified Copy of Priority  
Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):Copy of US 2002-0099552 A1;  
Copy of Information Disclosure  
Statement, PTO 1449, and  
Transmittal filed 10/24/02

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Gary D. Fedorochko, Reg. No. 35,509

Signature

Date

November 13, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 09/456,249  
Filing Date 12/07/99  
First Named Inventor Darryl Rubin et al.  
Examiner Name TBA  
Group / Art Unit 2776  
Attorney Docket No. 003797.85089

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NOV 14 2002

Technology Center 2600

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims  - \*\* =  Extra Claims X  Fee from below =  Fee Paid   
Independent Claims  - \*\* =  X  =   
Multiple Dependent ☒ X  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gary D. Fedorochko	Registration No. Attorney/Agent	35,509	Telephone	(202) 508-9223
Signature				Date	November 13, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**PATENT APPLICATION**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Application of:	)	Group Art Unit: 2776
	)	
Darryl Rubin et al.	)	Examiner: TBA
	)	
Serial Number: 09/456,249	)	Attorney Docket No. 003797.85089
	)	
Filed: Dec. 7, 1999	)	

For: Computer User Interface Architecture Wherein User's Interact With Both Content  
And User Interface By Activating Links

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of U.S. Patent and Trademark Office  
Washington, D.C. 20231

**RECEIVED**

NOV 14 2002

**Technology Center 2600**

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed is a PTO Form-1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related application U.S. Serial No. 09/456,127 and related application U.S. Serial No. 09/455,805. Three of the documents were cited by the International Searching Authority for corresponding International Application No. PCT/US00/33129 on February 27, 2001. A copy of the search report is also enclosed.

**Information Disclosure Statement**

Serial No. 09/456,249

Page 2

Applicants also would like to apprise the Examiner of four related applications, 09/457,109; 09/456,127; 09/455,805 and 09/456,975. A copy of these applications are being provided herewith pursuant to 37 C.F.R. § 1.98(a).

The submission of the listed documents is not intended as an admission that any such documents constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

The accompanying Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. However, in the event a fee is due, please charge Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

**Information Disclosure Statement**

Serial No. 09/456,249

Page 3

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: October 24, 2002

By: 

Gary D. Fedorochko

Registration No. 35,509

Banner & Witcoff, LTD  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 508-9100

PTO-1449 (Modified)  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
003797.85089

SERIAL NUMBER  
09/456,249

APPLICANT  
Darryl Rubin et al.

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

FILING DATE  
12-07-99

GROUP ART UNIT  
2776

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,146,552	09/08/1992	Cassorla et al.			
	5,802,516	09/01/1998	Shwartz et al.			
	5,559,942	09/24/1996	Gough et al.			
	5,956,034	09/21/1999	Sachs, et al.			
	US 2002-0099552 A1	01/25/2001	Rubin et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	WO 97 22109 A	6/19/97	PCT			
	WO 87/01481	3/12/87	PCT			
	WO 98/09446	3/05/98	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	SCHILIT and PRICE: "Digital Library Information Appliances", ACM Conference on Digital Libraries, June 23, 1992, pages 217-226
	GRAEFE, et al: "Designing the muse: A Digital Music Stand for the Symphony Musician", Proc. of Conf on Human Factors In Computing Systems, April 13-18, 1996, pages 436-441
	SOFTBOOK® Press The Leader in Internet-Enabled Document Distribution and Reading Systems; <a href="http://www.softbook.com/consumer/reader.asp">http://www.softbook.com/consumer/reader.asp</a>
	Rocket eBook Using the Rocket eBook; <a href="http://www.rocketbook.com/Products/Faq/Using.html">http://www.rocketbook.com/Products/Faq/Using.html</a>
	Explore the Features of the Rocket eBook; wysiwyg://212http://www.rocketbook.com/Products/Tour/index.html
	CRESPO, CHANG, BIER: Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteor shower architecture in the WebWriter II Editor", 1997, pages 1508-1517
EXAMINER	DATE CONSIDERED
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	



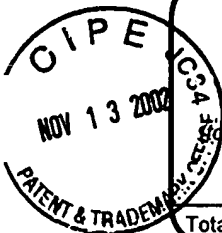
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number 09/456,249

Filing Date 12/07/99

First Named Inventor Darryl Rubin et al.

Group Art Unit 2776

Examiner Name TBA

Total Number of Pages in This Submission

5

Attorney Docket Number 003797.85089

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### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences.

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

PTO 1449, 14 references, copies of four related applications  
International Search Report for PCT/US00/33129, 02/27/01

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Gary D. Fedorochko, Reg. No. 35,509

Signature

Date

October 24, 2002

### CERTIFICATE OF MAILING

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Typed or printed name

Signature

Date

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